

Gp/2877 \$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): MUKAIHARA, T. *et al.*  
Serial No.: 09/513,702  
Filed: February 25, 2000  
For: SEMICONDUCTOR  
LASER DEVICE  
Group Art Unit: 2877  
Examiner: Delma R. Flores-Ruiz  
Attorney Docket: 00120/HG (007742-0034)  
[6635-60672]

**CERTIFICATE OF  
MAILING/TRANSMISSION  
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 15, 2002

Date

Diana R. Castillo

Diana R. Castillo

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted after the mailing of the first Office Action for the above-identified application and, to the knowledge of the undersigned, before the mailing of either a final action or a notice of allowance. Accordingly, it is believed by the undersigned that a petition and petition fee under 37 C.F.R. §1.97(d) are not required.

A check in the amount of \$180 is enclosed to cover the fee under 37 C.F.R. §1.17(p). The Commissioner is hereby authorized to charge any additional fees, or additional monies to cover an underpayment by the enclosed check or the inadvertent omission of this check, to deposit account No. 13-0201. **A duplicate copy of this Statement is attached herewith and is only to be used if the Office needs to make a charge against the deposit**

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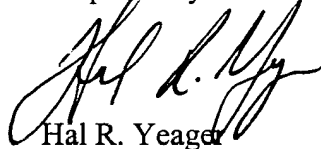
account.

**Citations A1-10, B1-B16, and C1-C26 have been previously submitted in Applicants' prior Information Disclosure Statements.**

Citations B18-B20 are Japanese Patent Documents in the Japanese Language. An English-language abstract of each of Citations B18 – B20 is attached to the front of the corresponding document. Also, a PCT Search Report for another case indicates that U.S. EP-812040 (Citation B17) is foreign counterpart application to JP-9-283847-A (Citation B20).

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,



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March 15, 2002  
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